W	PTO/SB/08A (10-9
-	Approved for use through 10/31/99. OMB 0651-003
Patent and	Trademark Office: U.S. DEPARTMENT OF COMMERCE

سبر	Subs	stitute for form 1449/		ction of information unless it contains a valid OMB	nplete if Known
6	- 'c'	INFORMAT	ION DISCLOSURE	Application Number	09/101,413
OCT	0 5 Jans 15.3	STATEME	NT BY APPLICANT ny sheets as necessary)		TECH CENTER 1600/29
Ou.		(use as ma	ny sileets as fiecessary,	Filing Date	January 17, 1997
VEW	& TRACELIE			First Named Inventor	Hans Josef Stauss
				Group Art Unit	1644
				Examiner Name	Ewoldt, G.R.
	Sheet	1 of	3	Attorney Docket Number	RPMS 102

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	US Pate	nt Docu	ment	Name of Patentee or Applicant of Cited Document	Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number		Code ² known)			

				- I	OREIGN PATENT DOCUMEN	ITS		
Examiner Initials*	Cite No.1	Foreign Patent Document		cument	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM- DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		Office.3	Number⁴	Kind Code ⁵ (if known)				
FRE		wo	91/07509	A1	05-30-1991	Massachusetts Inst. of Tech.		
"		wo	95/29995	A1	11-19-1995	The Wistar Inst. of Anatomy and Biology		
		wo	97/26328	Ā1	07-24-1997	RPMS Technology Ltd.		
-	l	wo	00/06602	A1	02-10-2000	H. Sugiyama		
—	t	wo	00/18795	A2	04-06-2000	Corixa Corp.		<u> </u>
	1	JP	10 218093		07-31-1999	H. Sugiyama		

Examine Signature	Twelt	Date Considered 10/11/07
Olginatore 1		'

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commission for Patent, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant to place a check mark here if English language Translation is attached.

616	2	perwork Reduction Substitute for			o a collection of information unless it contains a v		ECEIVED
	2 2002 F	STATE	EMENT	N DISCLOSURE BY APPLICANT heets as necessary)	Application Number		OCT 0 5 2002 CENTER 1600/290
•					Filing Date	January 17, 1997	
Ï					First Named Inventor	Hans Josef Stauss	
					Group Art Unit	1644	
•					Examiner Name	Ewoldt, G.R.	
	Sheet	2	of	3	Attorney Docket Number	RPMS 102	

		OTHER ART NON PATENT LITERATURE DOCUMENTS	
Examiner's	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	T,
Initials*	No.1	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s),	
		publisher, city and/or country where published	
120		AL-OBEIDI, et al., "Peptide and peptidomimetic libraries," <i>Molecular Biotechnology</i> 9:205-223 (1998).	
		BEELEY, "Peptidomimetics and small-molecule drug design: towards improved bioavailability and in vivo stability," TIBTECH 12:213-216 (1994).	
		BLUYSSEN, et al., "The interferon-stimulated gene 54 K promoter contains two adjacent functional interferon-stimulated	
		response elements of different strength, which act synergistically for maximal interferon-alpha inducibility," <i>Eur. J. Biochem</i> 220: 395-402 (1994)	
		BOTTGER, et al., "Molecular characterization of the hdm2-p53 interaction," J Mol Biol 269:744-756 (1997).	
		BUCKLER, et al., "Isolation, Characterization, and Expression of the Murine Wilms' Tumor Gene (WT1) During Kidney Development," Molecular and Cellular Biology 11(3): 1707-1712 (1991).	
	-	CROSSLEY & ORKIN, "Phosphorylation of the Erythroid Transcription Factor GATA-1," <i>J Bio Chem</i> 269:16589-96 (1994).	
		GAIGER, et al., "Immunity to WT1 in the animal model and in patients with acute myeloid leukemia," <i>Blood</i> 96(4):1480-89 (2000).	
		GAO, et al., "Selective elimination of leukemic CD34+ progenitor cells by cytotoxic T lymphocytes specif for WT1," <i>Blood</i> 95:2198-2203 (2000).	-
V		JANEWAY, et al., Immunobiology 4 th Edition (Austin et al., eds.), pages 121, 551, 569, Figures 4.3, 4.5 and 4.7, Garland Press 1999	
/XF		KIEBER-EMMONS, et al., "Therapeutic peptides and peptidomimetics," Curr Opinion Biotechnol 8:436-441 (1997).	

	~~~		
Examiner's Signature	Ewidet	Date Considered	17/02

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-96 Approved for use through 10/31/99. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

4

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449A/PTO RECEIVED Complete if Known 09/101,413 Application Number INFORMATION DISCLOSURE OCT 0 5 2002 STATEMENT BY APPLICANT CH CENTER 16**d**0/2900 (use as many sheets as necessary) January 17, 199 Filing Date First Named Inventor Hans Josef Stauss Group Art Unit 1644 Examiner Name Ewoldt, G.R. **RPMS 102** Attorney Docket Number 3 Sheet of

Examiner's Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the						
Initials*	No.1	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				
178	,	MEZIERE, et al., "In vivo helper cell response to retro-inverso peptidomimetics," <i>Journal of Immunology</i> 159:3230-37 (1997).				
1		MURALI & GREENE, "Structure-based design of immunologically active therapeutic peptides," Immunolgic Research 17(1&2):163-169 (1998).				
		NAKANISHI, et al., "Peptidomimetics of the immunolglobin supergene family – a review," <i>Gene</i> 137:51-56 (1993).				
		OHMINAMI, et al., "HLA class I-restricted lysis of leukemia cells by a CD8+ cytotoxic T-lymphocyte clone specific for WT1 peptide," <i>Blood</i> 95:286-93 (2000).				
		OKA, et al., "Cancer immunotherapy targeting Wilms' Tumor Gene WT1 product," J Immunol 164:1873-80 (2000).				
		OKA, et al., "Human cytotoxic T-lymphocyte responses specific for peptides of the wild-type Wilms tumor (WT1) product," Immunogenetics 51:99-107 (2000).				
		SADOVNIKOVA & STAUSS, "Peptide-specific cytotoxic T lymphocytes restricted by nonself major histocompatibility complex class I molecules: reagents for tumor immunotherapy," <i>Proc Natl Acad Sci USA</i> 93:13114-13118 (1996).				
		SEMBA, et al., "cDNA cloning and its pronephros-specific expression of the Wilms' tumor suppressor gene, WT1, from Xenopus laevis," <i>Gene</i> 175: 167-172 (1996).				
R		SHARMA, et al., "Molecular Cloning of Rat Wilm's Tumor Complementary DNA and a Study of Messenger RNA Expression in the Urogenital System and the Brain," Cancer Research 52: 6407-6412 (1992).				

Examiner's Signature	Fwod	Date Considered 101752

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

n (+) inside this box  $\rightarrow$ 

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant to place a check mark here if English language Translation is attached.